

### CERTIFICATION DEPARTMENT

# FORM TO BE COMPLETED IN CASE OF CONVICTION Ethical offence

Sections 37 and 38 of the Real Estate Brokerage Act

#### **IMPORTANT**

This form must be completed when a person or company has made a declaration to the Organization to the effect that:

- a) they have been convicted by a court, in Canada or elsewhere, of an ethical offence (other than in the field of real estate brokerage) or has pleaded guilty to such an offence. This person has an obligation to disclose any such conviction or plea regardless of its nature or whether or not it is perceived to be related to the field of real estate brokerage; or
- b) they have had their licence revoked, suspended or made subject to restrictions or conditions by the Discipline Committee or by a body in a province other than Québec or in another State responsible for overseeing and monitoring real estate brokerage.

These declarations may be subject to review and analysis by the Licence Issue and Maintenance Committee (the "Committee"). The measures that the Committee may be justified in imposing, depending on circumstances, are to refuse to issue a licence to an applicant, or to issue it with restrictions or conditions. The Committee may also take measures against a licence holder, including suspending or revoking a licence, or making it subject to restrictions or conditions.

Please complete a different form for each offence and provide the following documents:

- · decision on guilt and sanction; or
- · judgment.

If you no longer have the documents in your possession, please contact the clerk's office of the court where the case was heard; for any document that has been destroyed or is no longer available, please provide a written statement to that effect from the clerk's office of the court where the case was heard.

You must provide all the documents and information requested, unless they are already in the OACIQ's possession. If you fail to do so, your application will not be processed, or, as applicable, your licence will be suspended following an update of your information. If necessary, you can attach a sheet to complete your answers. Please note that you are responsible for the answers contained in this declaration, even if it is completed by another person. Any misrepresentation will result in the revocation of your licence.

IT IS IMPORTANT TO COMPLETE THE FORM LEGIBLY. In the event that your file is submitted to the Licence Issue and Maintenance Committee, the Committee will review all documentation, including the answers provided on this form, which constitute comments.

Be advised that you will be informed of the date on which your file will be reviewed by the Licence Issue and Maintenance Committee and that you will be given the opportunity to submit your comments. You may forward any additional documents you deem relevant to complete your file.

## **SECTION I - IDENTIFICATION**

Mr.	Mrs.																File	e or	Lice	ence	Nur	nbei	<u>.</u> L				
Name:																											
LAST NAME												FIR	ST NAMI	E													
Address:																											
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NUMBER STREET APARTEMENT																											
MUNICIPALITY PROVINCE POSTAL CODE																											
AREA CODE	HOME PHONE N	IMRER				ARFA	CODE		CELL	PHO	NE NII	MRFR															

## **SECTION II – DECLARATIONS**

1.	Details of the ethical offence or the measure applied to your licence or certificate by an organization in another province or State responsible for overseeing and monitoring real estate brokerage:												
	File or court number Date of the offence:												
	DAY MONTH YEAR  Measures applied:												
	Province or country:												
2.	Describe the causes, circumstances and location of the offence or the measure applied to your licence or certificate: :												
3.	If applicable, have you finished serving your sanction, measure or commitment?  Yes No												
4.	If applicable, have you fully paid the fines that have been imposed?  Yes No												
5.	If applicable, have you complied with the conditions or restrictions imposed?												
	Yes No N/A												
6.	In your opinion, is there a link with this offence or measure and real estate brokerage activities?												
0.	Oui Non If not, explain why there is no link between this offence or measure and real estate brokerage activities.												
7.	Explain why public safety would not be compromised by your situation if you had to engage in real estate brokerage activities.												
8.	Since the commission of this offence, have you taken any steps to rehabilitate yourself?  Yes No If yes, which ones? If not, why?												
9.	Were you performing your work at the time of the offence?												
	Yes No												
10.	Have you been holding a certificate issued by the ACAIQ or a licence issued by the OACIQ at the time of the offence or conviction?												
	Yes No												

11.	If you are already the holder of a licence issued by the OACIQ, section 10 of the <i>Regulation respecting broker's and agency licences</i> stipulates that you must send to the OACIQ without delay any change to any information or document required under the Real Estate Brokerage Act or this regulation. If your conviction dates more than a few days, why did you not apprise the OACIQ of it?	

## SECTION III - CONSENT, DECLARATION AND SIGNATURE

# ■ CONSENT TO THE COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The OACIQ protects the privacy of information it collects in accordance with the applicable legislation and its personal information governance policies. The OACIQ collects your personal information via this form. This personal information is necessary; it is required by the *Real Estate Brokerage Act* (CQLR, c. C-73.2) and its regulations for the issuance of OACIQ licences. This information will be used for the following purposes:

- · Verification of your identity.
- Processing of your application for OACIQ licence issuance or maintenance to ensure that your application meets the conditions set forth in the regulations.
- Criminal record check.
- · Keeping of the OACIQ Register of licence holders.

Where applicable, your personal information may be used to oversee your practice by OACIQ staff members or committee members whose duties so require.

To check your criminal record, the OACIQ may disclose your personal information to private companies specialized in background checks.

In some cases prescribed by law, your personal information may be used for purposes other than those described above or disclosed to third parties without your consent.

## Right of access and correction

Subject to certain reservations, the law authorizes you to access your personal information. You may request corrections to your personal information held by the OACIQ if it is inaccurate, incomplete or equivocal, or if the collection, release or keeping of the information is not authorized by law.

## Consequences of refusal

This collection of your personal information is necessary to process your OACIQ licence issuance application. In the event that you withdraw your consent to the collection, use or disclosure of your personal information, the OACIQ will not be able to receive or process your application.

## Consent

I CONFIRM that I have read and understood the information regarding the collection, use and disclosure of my personal information. I consent to the collection, use and disclosure of my personal information.

I DECLARE that all the information contained in this form is accurate and I understand that any misrepresentation will result in the revocation of my licence. I undertake to notify the OACIQ immediately of any change to this information.

X		Date :			
SIGNATURE			DAY	MONTH	YEAR
	Please sign the form AFTER completing ALL the sections.				

# Organisme d'autoréglementation du courtage immobilier du Québec

4905 Lapinière Blvd., Suite 2200, Brossard (Québec) J4Z 0G2

Tel.: 450-462-9800 or 1-800-440-7170 • Fax: 450-676-3513 • certification@oaciq.com • oaciq.com